

# Application Form

Trust, Company, QROPS & SSAS –  
Accounts through Financial Intermediaries



## Thank you for choosing to invest with Credo

This application to open an account with Credo Capital Ltd (**us** or **Credo**) forms the agreement made between you (**you** or **Financial Intermediary**) and Credo. Where you have entered into a separate overriding agreement with Credo, this Application Form shall form part of that agreement, along with any other associated documents.

This Application Form and all the requisite documents referred to in it should be completed, signed and returned to us at the following address:

**Client Services**  
**Credo Capital Ltd**  
**8 – 12 York Gate**  
**100 Marylebone Road**  
**London NW1 5DX**  
**United Kingdom**

Please note that incomplete information will unfortunately delay the opening of this account. As part of anti-money laundering regulatory requirements Credo may require further evidence of the Investor's and any Beneficiary's identity before this Application Form can be processed (and thereafter). You hereby agree to hold Credo harmless and to indemnify Credo and keep Credo indemnified against any loss:

- arising as a result of Credo's failure to process this form if such information has been requested and has not been provided by you; and
- suffered by Credo as a result of any breach of any representation, warranty, covenant or confirmation by you in this Application Form or from your failure to disclose any relevant details or provide Credo with all information requested by it or on its behalf.

In the case of delay or failure to provide satisfactory information, Credo may take such action (including declining to accept this Application Form) as it deems fit.

Should you require any assistance in completing this Application Form please contact Client Services on

+44 (0)20 7968 8300 or at [clientservices@credogroup.com](mailto:clientservices@credogroup.com)

We look forward to welcoming you as a valued client.



Please complete all sections - use block letters and tick the appropriate boxes. Where the space allocated for information is insufficient, please provide such information on a separate page and indicate the relevant sections and subsections to which such information refers.

In this application all references to the **investor** are references to the entity who is your direct client. References to a **beneficiary** or the **beneficiaries** are references to any natural person who owns at least 25% of the investor; or settlor/protector/trustee/beneficiary entitled to, or who is likely to become entitled to, the assets or income of the investor.

## Section I - Investor Profile

### Entity Information

**Name of Investor** (Where the Investor is a Trust - provide the name of the Trust and not the Trustees)

**Account Title** (if different from above) Where the Investor is a Trust – provide name of Trustees)

**Nature of Business** (only complete for company accounts)

Utilities /  
Construction

Marketing / Sales

Retail /  
Wholesale

Investment  
Holding Co.

Finance

Gaming /  
Gambling

Customer  
Services

Other

If "other" please specify:

**Legal Entity Identifier**<sup>1</sup> (Not applicable where the Investor is a bare trust or if the Investor only purchases and redeems units in collective investments)

Not Applicable

Applicable

**Type of Account**

Company

Trust

QROPS

SSAS

**What is the purpose of the account with Credo?**

Capital Growth

Income

Combination of Growth & Income

Other, please specify

**What is the intended nature of the business relationship with Credo?**

Buy & Hold Investments

Liquidate Investments & pay the funds to a bank account?

Dematerialise shares & Invest in the proceeds

Other, please specify

**What is the reason for using the relevant legal arrangement or scheme as the vehicle for investing these assets?**

Wealth Preservation & Planning

Tax Efficiency

Estate Planning

Other, please specify

<sup>1</sup> Refer to MiFID II: LEI and NPI Guide



## Contact Details for the Investor

**Registered business address for the Investor**

**Post Code**

**City**

**Country**

**Contact person for the Investor** (Title & Full name)

**Business telephone number** (Including country and area code)

**Mobile number** (Including country code and area code)

**Email address**

**Website address**



## Personal Details of Individuals associated to Entity

	Individual 1		Individual 2		Individual 3		Individual 4	
<b>Title</b> (Mr/Mrs/Miss/Ms/Other - please specify)								
<b>First Name</b>								
<b>Surname</b>								
<b>Middle Name(s)</b>								
<b>Gender</b>	Male		Male		Male		Male	
	Female		Female		Female		Female	
<b>Date of Birth</b> (day/month/year)								
<b>Country of Birth</b>								
<b>Residential Address</b>								
<b>Post Code</b>								
<b>City</b>								
<b>Country</b>								
<b>Nationality</b> (if more than one please include all of them)								
<b>National Insurance / TIN</b>								
<b>Home telephone number</b> (Including country & area code)								
<b>Work telephone number</b> (Including country & area code)								
<b>Mobile number</b> (Including country and area code)								
<b>Email address</b>								
<b>Preferred contact method</b>	Home	Work	Home	Work	Home	Work	Home	Work
	Mobile	Email	Mobile	Email	Mobile	Email	Mobile	Email
<b>Is the Individual a US citizen?</b>	Yes	No	Yes	No	Yes	No	Yes	No
<b>Does the Individual have a US green card?</b>	Yes	No	Yes	No	Yes	No	Yes	No
<b>Nature of Association with Entity</b>	Individual Trustee		Individual Trustee		Individual Trustee		Individual Trustee	
	Settlor		Settlor		Settlor		Settlor	
	Protector		Protector		Protector		Protector	
	Beneficiary		Beneficiary		Beneficiary		Beneficiary	
	Controller		Controller		Controller		Controller	
	Shareholder		Shareholder		Shareholder		Shareholder	
	Director		Director		Director		Director	
	Ultimate Beneficial Owner		Ultimate Beneficial Owner		Ultimate Beneficial Owner		Ultimate Beneficial Owner	

# Application Form

## Trust, Company, QROPS & SSAS – Accounts through Financial Intermediaries



Please confirm jurisdiction for tax purposes

Does the Investor, or does any member of the Investor's immediate family or anyone the Investor is a close associate <sup>2</sup> of, hold, or have they previously held, a position of high influence or authority in any government or government body?	Yes	No	Yes	No	Yes	No	Yes	No
	If "Yes", when?		If "Yes", when?		If "Yes", when?		If "Yes", when?	
	If "Yes", where?		If "Yes", where?		If "Yes", where?		If "Yes", where?	

If "Yes" please provide their full name

	Individual 5	Individual 6	Individual 7	Individual 8
<b>Title</b> (Mr/Mrs/Miss/Ms/Other - please specify)				
<b>First Name</b>				
<b>Surname</b>				
<b>Middle Name(s)</b>				
<b>Gender</b>	Male	Male	Male	Male
	Female	Female	Female	Female
<b>Date of Birth</b> (day/month/year)				
<b>Country of Birth</b>				
<b>Residential Address</b>				
<b>Post Code</b>				
<b>City</b>				
<b>Country</b>				
<b>Nationality</b> (if more than one please include all of them)				
<b>National Insurance / TIN</b>				
<b>Home telephone number</b> (Including country & area code)				
<b>Work telephone number</b> (Including country & area code)				
<b>Mobile number</b> (Including country and area code)				
<b>Email address</b>				
<b>Preferred contact method</b>	Home	Work	Home	Work
	Mobile	Email	Mobile	Email
<b>Is the Individual a US citizen?</b>	Yes	No	Yes	No
<b>Does the Individual have a US green card?</b>	Yes	No	Yes	No

<sup>2</sup> The Investor is a close associate of someone where the Investor either:

- shares joint beneficial ownership of a legal entity or arrangement, or any other close business relationship with that other person; or
- has sole beneficial ownership of a legal entity or arrangement which has been set up for that other person.

# Application Form

## Trust, Company, QROPS & SSAS – Accounts through Financial Intermediaries



Nature of Association with Entity	Individual Trustee	Individual Trustee	Individual Trustee	Individual Trustee
	Settlor	Settlor	Settlor	Settlor
	Protector	Protector	Protector	Protector
	Beneficiary	Beneficiary	Beneficiary	Beneficiary
	Controller	Controller	Controller	Controller
	Shareholder	Shareholder	Shareholder	Shareholder
	Director	Director	Director	Director
	Ultimate Beneficial Owner	Ultimate Beneficial Owner	Ultimate Beneficial Owner	Ultimate Beneficial Owner

### Please confirm jurisdiction for tax purposes

Does the Investor, or does any member of the Investor's immediate family or anyone the Investor is a close associate <sup>3</sup> of, hold, or have they previously held, a position of high influence or authority in any government or government body?	Yes	No	Yes	No	Yes	No	Yes	No
	If "Yes", when?		If "Yes", when?		If "Yes", when?		If "Yes", when?	
	If "Yes", where?		If "Yes", where?		If "Yes", where?		If "Yes", where?	

If "Yes" please provide their full name

<sup>3</sup> The Investor is a close associate of someone where the Investor either:

- shares joint beneficial ownership of a legal entity or arrangement, or any other close business relationship with that other person; or
- has sole beneficial ownership of a legal entity or arrangement which has been set up for that other person.



### Trust

Complete if the Investor is a Trust

#### Type of Trust

**Corporate Trustees** (If you require more space,  
attach a separate sheet outlining all the relevant personnel)

Name of Corporate  
Trustee

Registered jurisdiction

Name of Corporate  
Trustee

Registered jurisdiction

Name of Corporate  
Trustee

Registered jurisdiction

Name of Corporate  
Trustee

Registered jurisdiction

### Trust registration information

For Trust Accounts Only:

- |   |     |    |
|---|-----|----|
| 1. Are all trustees resident in the UK?   | Yes | No |
| 2. If “No” to the question 1 – then is any trustee resident in the UK?  | Yes | No |
| 3. If “Yes” to question 2, was the settlor resident in the UK when the trust was set up?  | Yes | No |
| 4. If “No” to question 3, was the settlor resident in the UK when the settlor added funds to the trust?   | Yes | No |
| 5. Has the trust acquired, or will it acquire an interest in land in the UK?  | Yes | No |
| 6. Is the trust established in a European Economic Area member state and is required to be registered on the equivalent beneficial ownership register of that member state? | Yes | No |
| 7. Is the trust liable for tax on income derived from the UK or from a UK asset?  | Yes | No |



Company

Complete if the Investor is a company, partnership or other corporate entity

Company Number

Country of Incorporation

Corporate Directors (If require more space, attach  
a separate sheet outlining all the relevant personnel)

Name of Corporate  
Director

Registered jurisdiction

Name of Corporate  
Director

Registered jurisdiction

Name of Corporate  
Director

Registered jurisdiction

Name of Corporate  
Director

Registered jurisdiction

Beneficial owner(s) or controller(s) who  
control or own 25% or more of the company  
(If require more space, attach a separate sheet outlining  
all the relevant personnel)

Name

Date of Birth

Name

Date of Birth

Name

Date of Birth

Name

Date of Birth



## Application Form

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### Source of Funds (to be provided in relation to each Account Holder)

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The following questions reflect the information required for the Source of Funds (SoF) to be invested with Credo, please select all that apply:

#### Information required

#### Please complete the below

Please select one of the following options

Savings

Investment Account

Other

Financial institution name

Jurisdiction of the financial institution

If other, how were the funds generated

### Source of Wealth (to be provided in relation to each Account Holder)

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The following questions reflect the information required for the Source of Wealth (SoW), please select all that apply:

#### Employment

If your wealth derives from your employment, please provide the below details of your current employment (and your previous occupation if retired).

Please tick if Source of Wealth:

Source of Wealth

#### Information required

#### Please complete the below

Please select one of the following options

Employed

Self Employed

Retired

Name of employer / business

Position held

Nature of business

Jurisdiction of work



## Capital from Investment in Company, Dividends/Interest from Business or Sale of Business

If your wealth derives from the sale of a business, capital or interest/dividends, please provide the following details:

Please tick if Source of Wealth:

Source of Wealth

### Information required

### Please complete the below

Please select one of the following options

Sale of business

Dividends/interest

Capital from investment in Company

Name of business

Nature of business activity

Primary location of business activities

SoW of the initial investment

Jurisdiction(s) of the SoW of the initial  
investment

## Investment Growth

If your wealth originates through the sale of investment assets, please provide details below:

Please tick if Source of Wealth:

Source of Wealth

### Information required

### Please complete the below

Name of investment firm

Type of investments

Jurisdiction of investment

SoW of initial investment

Jurisdiction(s) of the SoW of the  
initial investment



## Inheritance / Gift / Divorce

If your wealth derives from an inheritance, gift or divorce settlement, we require to know the following details:

Please tick if Source of Wealth:

Source of Wealth

### Information required

### Please complete the below

Please select one of the following options

Inheritance

Gift

Divorce

Full name of deceased /donor/ex-spouse

Relationship with donor /deceased

Date of birth of deceased/donor/ex-spouse

Country of residence

How was deceased/donor/ex-spouse wealth  
accumulated?

In which jurisdiction(s) was their wealth  
generated ?

## Property Sale

If your wealth derives through the sale of a property, please provide the following details:

Please tick if Source of Wealth:

Source of Wealth

### Information required

### Please complete the below

SoW of initial investment

Jurisdiction(s) of the SoW of the  
initial investment

Jurisdiction of property



## Loan / Finance

If your wealth derives from a loan/finance, please provide the following details:

Please tick if Source of Wealth:

Source of Wealth

### Information required

### Please complete the below

Name of the lender

Relationship with the lender

Date of birth of lender (if applicable)

Country of residency

SoW of lender

In which jurisdiction(s) was their wealth generated?

## Business Activities

If your wealth derives through business activities, please provide the following details:

Please tick if Source of Wealth:

Source of Wealth

### Information required

### Please complete the below

Name of business

Nature of business activity

Jurisdiction(s) of business operations

Is the business regulated by a professional body/regulatory authority? – please name

SoW of beneficial owner(s)

In which jurisdiction(s) was the beneficial owner's wealth generated ?



## Distribution from Trust(s)

If your wealth derives from distribution of a trust(s), please provide following details:

Please tick if Source of Wealth

Source of Wealth

### Information required

### Please complete the below

Settlor's name

Settlor's date of birth

How was Settlor's wealth generated?

In which jurisdiction(s) was the settlor's  
wealth generated?

Jurisdiction of trust registration

## Other

This section is only to be used in the event that the origin of your SoW is not covered by the other sections. Please be as descriptive as possible about how the SoW was generated, jurisdiction in which generated and its value.

Please tick if Source of Wealth is not covered by  
previous sections

Source of Wealth

### Information required

### Please complete the below

How was wealth generated?

Jurisdiction(s) in which wealth was generated

## Application Form

### Trust, Company, QROPS & SSAS – Accounts through Financial Intermediaries



Is the source of funds and/or source of wealth derived from any of the following activities

Utilities (electricity <sup>4</sup> , gas water, waste)	Yes	No
Procurement contracts / Public works / Mining prospecting / exploration	Yes	No
Oil, Gas and Nuclear energy, Commodities / Mining (including extraction, processing and trading)	Yes	No
Retail / Wholesale of High-Value goods including precious metals, luxury cars, boats, art and antiques	Yes	No
Cash-intensive business (convenience stores, restaurants, retail stores, liquor stores, privately owned automated teller machines (ATM), parking garages, vending machine operators – provided that the businesses are mainly cash operated)	Yes	No
Unregulated Property businesses with revenue from property construction or property purchases and sales	Yes	No
Regulated gaming / gambling businesses including casinos, internet gambling, betting shops In low/medium risk jurisdictions	Yes	No
Cryptocurrency	Yes	No
Tobacco, alcohol, legal adult entertainment	Yes	No
Healthcare and pharmaceuticals (sales, marketing and manufacturing)	Yes	No
Manufacture, acquisition development, export, trans-shipment, brokering, transport, transfer, stockpiling or use of nuclear, chemical, or biological weapons and their means of delivery and related materials	Yes	No
Unregulated gaming/gambling businesses including casinos, internet gambling, betting shops and those regulated in HIGH Risk jurisdictions	Yes	No
Arms, weapons, military supplies	Yes	No

<sup>4</sup> Excluding solar energy



## Section II - Financial & Investment Information

### Financial Information

Please indicate the currency in which you would like the portfolio to be reported to you

Pound Sterling

US Dollar

Euro

Other:

Type of mandate given to Credo

**Execution-Only**

Credo acts only on your instructions. Neither you nor the Investor expects to receive any advice or research from us

**Discretionary**

Credo has full discretion to manage investments on behalf of you and the Investor

### Custody of Account

Onshore (UK)

Offshore (Jersey)

Julius Baer

### Account Type

#### Initial Investment Amount

General Investment Account (£)

### Credo Managed Portfolio Services

Credo has full discretion to manage investments selected for the Credo Model Portfolios

Do you wish to invest in a Credo Managed Portfolio Service?

No

Yes, Complete the below details

			Minimum investment	Amount (£) or %
Multi-Asset	MAP Core 20/80	MAP ESG 20/80	£100,000 / \$150,000	
	MAP Core 45/55	MAP ESG 45/55	£100,000 / \$150,000	
	MAP Core 60/40	MAP ESG 60/40	£100,000 / \$150,000	
	MAP Core 70/30	MAP ESG 70/30	£100,000 / \$150,000	
Equities	Best Ideas Portfolio		£250,000 / \$250,000	
	Dividend Growth Portfolio		£250,000 / \$250,000	
	AIM IHT Core Growth	AIM IHT Core Dividend	£100,000	
	AIM IHT ESG Growth	AIM IHT ESG Dividend	£100,000	
Fixed Income	Lower risk GBP	Lower risk USD	£250,000 / \$250,000	
	Higher risk GBP	Higher risk USD	£250,000 / \$250,000	
Total				



Section III – Payment Instructions

Bank Details

Note transfers from a Credo Account may ONLY be made to an account held in the SAME NAME as the name of the Investor

Bank Name

Bank Address

Post Code

City

Country

Account Name

Account Currency

Account Number

Sort Code

Swift code/ABA number

Dividend, Interest & Corporate Events

Note this section will not be applicable if you are investing in Credo Model Portfolios

Please indicate how you want dividends, interest, corporate events, proceeds and costs processed: whether you wish to receive income in	Pound Sterling	Local currency (the currency in which the dividend and/or interest is paid)		
	*All Corporate action events and dividends will be paid in GBP except Corporate actions processed as a redemption which will be paid in the local currency*			
Where there is a scrip dividend option, do you always want to receive dividends in	Cash	Securities		
*If neither is selected the default will be cash*				
Please indicate whether any dividends and/or interest we receive should be	Retained in the Investment Account		Retained in a separate Income Account	
Please indicate whether you would like to setup a regular payment to your bank <small>(note regular payments can only be made in GBP)</small>	Yes	No		
	If "Yes" complete the below details			
Amount	Specified Amount(£)		Income Earned	
From Account	GIA Capital		GIA Income	ISA
Start Date				
Frequency period	Monthly	Quarterly	Annually	
	Calendar Quarter End	Day of Month	Day and Month	
			Of	





## Section IV – Supplementary Information

Details below relate to how you classify the Investor(s)

Description	Details			
Investment Manager <sup>5</sup>				
Relationship Manager <sup>6</sup>				
Account Manager <sup>7</sup>				
Management Fee (Defined Name)				
Brokerage / Commission				
Dealing Type <sup>8</sup>	Discretionary	Advisory Managed	Advisory Dealing	Execution-Only
Classification	Retail	Professional	Eligible Counterparty	
Risk Rating <sup>9</sup>				
Risk Capacity <sup>10</sup>				
Investment Strategy <sup>11</sup>				
Reporting: Group to another portfolio	Yes		No	
If yes, provide portfolio number(s)				

<sup>5</sup> The Investment Manager is the person who makes the investment decisions

<sup>6</sup> The Relationship Manager is the person who has the relationship with your Investor

<sup>7</sup> The Account Manager is the person who has day-to-day responsibility for the account

<sup>8</sup> This relates to the relationship between you, the Financial Intermediary and the Investor

<sup>9</sup> The Investor's desired level of risk

<sup>10</sup> The Investor's capacity for risk (this may be the same as their desired level of risk)

<sup>11</sup> The Investment Strategy of the Investor



## Section V – Tax Information

Tax Status Self-Certification Form - To be completed by ALL Investors (required)

(Please complete both A) and B), and C) if applicable)

### A - FATCA Status

Enter US Taxpayer Identification Number (TIN):

Enter Global Intermediary Identification  
Number (GIIN):

Select the FATCA status of the Investor (tick one box only)

Nonparticipating FFI (including an FFI related to a  
Reporting IGA FFI other than a deemed-compliant  
FFI participating FFI or exempt beneficial owner)

Participating FFI

Reporting Model 1 FFI

Reporting Model 2 FFI

Registered deemed-compliant FFI (other than a reporting  
Model 1 FFI sponsored FFI or nonreporting IGA FFI)

Sponsored FFI

Certified deemed-compliant nonregistering local bank

Certified deemed-compliant FFI with only low-value accounts

Certified deemed-compliant sponsored closely held  
investment vehicle

Certified deemed-compliant limited life debt investment entity

Certain investment entities that do not maintain  
financial accounts

Owner-documented FFI

Restricted distributor

Nonreporting IGA FFI

Foreign government, government of a U.S. possession  
or foreign central bank of issue

International organization

Exempt retirement plans

Entity wholly owned by exempt beneficial owners

Territory financial institution

Excepted nonfinancial group entity

Excepted nonfinancial start-up company

Excepted nonfinancial entity in liquidation or bankruptcy

501(c) organization

Nonprofit organization

Publicly traded NFFE or NFFE affiliate of a publicly  
traded corporation

Excepted territory NFFE

Active NFFE

Passive NFFE

Excepted inter-affiliate FFI

Direct reporting NFFE

Sponsored direct reporting NFFE

Account that is not a financial account

If FATCA status is "Passive NFFE", please complete the following:

I certify that the Investor does NOT have a Controlling Person\* who is a US citizen or resident.

The Controlling Persons\* section below has been completed.



### B - Common Reporting Standard (CRS) Tax Status

#### Tax Status

#### Investor

**In which jurisdiction(s) is the Investor resident for tax purposes?**

(Tax residency usually means the jurisdiction(s) in which the Investor has an obligation to pay taxes or file tax returns)

**TIN (Tax Identification Number).** (Where a jurisdiction does not issue a TIN, complete an equivalent number, e.g. registered number or similar official identification number)

**No TIN.** (Please tick if the Investor does not have a TIN or equivalent number for that jurisdiction, because the jurisdiction does not issue such numbers)

**Is the Investor a Financial Institution?**

Yes

No

**If yes** The Controlling Persons\* section below has been completed (this is only required where the tax jurisdiction set out above is a non-CRS jurisdiction)

**If no** Please select the following category of Non-Financial Entity (**NFE**) which applies to the Investor:

Government Entity, International Organisation or Central Bank

A corporation whose stock is regularly traded on an established securities market (or entity related to such a corporation)

Name of securities market:

Name of related entity:

A start-up company formed in the past 24 months

Date of company organisation

Other active NFE

Passive NFE (please complete Controlling Persons\* section below)



## C - Controlling Persons

### If applicable

Please provide the information requested below on the Investor's Controlling Person(s) if you have indicated that the Investor is:

- i. For FATCA purposes a Passive NFFE; or
- ii. For CRS purposes a Passive NFE or an investment entity managed by a financial institution with a tax residence in a non-CRS jurisdiction.

**Controlling Person Name:**

**Current residential address:**

**Post Code**

**City**

**Country**

**Tax Status**

**Controlling Person 1**

**Controlling Person 2**

**In which jurisdiction(s) are you resident**

**for tax purposes?** (Tax residency usually means the jurisdiction(s) in which you have an obligation to pay taxes or file tax returns)

**TIN (Tax Identification Number).** (Where a jurisdiction does not issue a TIN, complete an equivalent number, e.g. social security, national insurance, personal identification or a resident registration number)

**No TIN.** (Please tick if you do not have a TIN or equivalent number for that jurisdiction, because the jurisdiction does not issue such numbers)

**If there is more than one Controlling Person, please provide the relevant information on an additional page.**

**\*Controlling Persons** – The natural persons who exercise control over an entity. In the case of a trust, such term means the settlor(s), the trustee(s), the protector(s) (if any), a beneficiary or class of beneficiary and any other natural person(s) exercising ultimate effective control over the trust and in the case of a legal arrangement other than a trust, such term means persons in equivalent or similar positions. This includes any person(s) holding 25% or more ownership or beneficial entitlement. The term "Controlling Persons" must be interpreted in a manner consistent with the Financial Action Task Force Recommendations. If you require further clarification, we urge you to seek independent professional advice.



## Section VI – Reporting and Communication

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### MyCredo Pro

You confirm that each Investor consents to you receiving online login and password details as well as statements and similar administrative data on each Investor's behalf, and Credo will provide such information to you on the understanding that it shall subsequently be made available to each Investor (or such other third party as notified by the Investor to you) by you (the responsibility of which shall be yours and not Credo's). Credo has a regulatory obligation to provide clients with certain information and you confirm that each Investor consents to Credo delivering this to you, acting as agent, rather than to each Investor directly.

### MyCredo Invest

MyCredo Invest, the white-labelled Investor Portal, provides online access to the Investor(s) portfolios(s). When we open a portfolio, we can create a username and password with which your Investor may use and view their portfolio.

**Would you like us to create this access?**

(We require the Investor's email address in Section I)

Yes

No

**What type of access?**

View Only

View & Trade

**Please provide mobile number for security access to MyCredo Invest**

**Please provide account numbers for linking MyCredo Invest access**

### Contract Notes

**Do you wish for each Investor to automatically receive a duplicate contract note by email or via the Investors' Portal each time Credo trades on their behalf?**

(We require the Investor's email address in Section I)

Yes

No

**If yes, please select preferred method**

Email

MyCredo Invest



### Statement

<b>Indicate whether you require a UK CGIX CGT report</b> (only available for a UK tax resident) (Note a charge will be incurred)	Yes	No
<b>Indicate whether you require a Consolidated Tax Certificate</b> (only available for a UK tax residence) (no charge)	Yes	No
<b>If “Yes” for CGIX CGT report, please confirm account for fee to be taken</b>	This Account Account No.	Other Account
<b>Please confirm tax year end date</b>		
<b>Please print name of tax report contact, if different to investor</b>		

## Section VII – Authorisation and Consent

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### Authority to Issue Instructions

You confirm that each Investor:

- has granted authority to you to open this account on behalf of the Investor(s) and to give Credo trading instructions on this account, pursuant to a mandate between the Investor(s) and you under the terms of which you have been granted full authority to Credo in relation to the Investor's assets. The individuals who are listed on your Authorised Signatory List from time to time will be entitled to act on your behalf in relation to this account;
- has granted authority to you to give Credo instructions to make payments and transfer assets from this account to an account in the name of the Investor(s) and in the event that you instruct Credo to make a payment or to transfer assets from this account to a person or account that is not in the name of the Investor or to change any of the static data that we hold for the Investor(s), including contact details and bank account details, you confirm that you have:
  - received a written instruction from the Investor(s) instructing you to do so;
  - verified the request;

and confirm that you hold all necessary documentation supporting the relevant instructions to Credo.

You acknowledge that:

- Credo shall not be obliged to make any payments or transfers to a person or account that is not in the name of the Investor(s); and
- each Investor is aware that he / she retains responsibility for any instruction given by you to Credo.



## Section VIII – Declarations and Signatures

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You, the Financial Intermediary, confirm that to the best of your knowledge the details provided in this Application Form are correct. You acknowledge that while certain Investor information in this Application Form may have been completed by the Investor, you have authority from the Investor pursuant to the Mandate to act on its behalf.

**Authorised Signatory** (Print name),  
for and on behalf of **Financial Intermediary**

Signature

Date

**Authorised Signatory** (Print name),  
for and on behalf of **Financial Intermediary**

Signature

Date

## Checklist

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### Document Checklist

Once you have completed this Application Form, please use this checklist to ensure that you have enclosed all documentation required

**This original Application Form duly completed, signed and dated**

**Original W-8BEN-E Form (if applicable)**

**Original Tax Status Self-Certification Form**

**Proof of registration with the Trust Registration Services for UK express trusts or non-UK express trusts which are liable for tax on UK assets or income coming from the UK.**

For more information see <https://www.gov.uk/guidance/register-a-trust-as-a-trustee>