

New Instrument Request

In order to ascertain if a particular instrument is acceptable for custody, the *New Instrument Request Form* needs to be completed and sent to operations@credogroup.com. Kindly review the eligibility considerations within the 'Overview' document before submitting your request. Credo will review the form and the associated documents and advise whether Credo are able to hold the instrument on the Credo platform. Note there is a minimum 72 hour turn around on this process and a £1m minimum investment is required in order for the Non Standard Instrument to be eligible.

Do NOT request to transfer-in or place/accept an order in a security that is likely to be included within what is deemed a Non-Standard Instrument until permission is granted.

Requestor

Financial Intermediary Name	
Contact Name	
Contact Number	
Contact Email	

Portfolio Information

Portfolio Name	
Portfolio Number	
Expected Trading Size	

Instrument Information

Full Name		
Is there an ISIN?	<input type="checkbox"/> Yes, provide	<input type="checkbox"/> No
Is there a prospectus available?	<input type="checkbox"/> Yes, provide	<input type="checkbox"/> No
Is the instrument on Bloomberg for pricing purposes?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Issuer Contact Name		
Issuer Contact Email		
Issuer Contact Number		

Any other Information

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Authorised Signatory, for and on behalf of the Financial Intermediary

_____ Name	_____ Signatory	_____ Date
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